

10-04-01
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JC971 U.S. PRO
09/970140**UTILITY PATENT APPLICATION TRANSMITTAL***Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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| ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231 | | Attorney Docket No. 01-1560 First Named Inventor Xiong Cai Express Mail No. EL603709705US |
| APPLICATION ELEMENTS | | ACCOMPANYING APPLICATION PARTS |
| <p>1. <input checked="" type="checkbox"/> Transmittal Form with Fee</p> <p>2. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 39]</p> <p>3. <input type="checkbox"/> Drawings [Total Sheets]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total Pages]</p> <p>a. <input type="checkbox"/> Newly executed</p> <p>b. <input type="checkbox"/> Copy from prior application [Note Boxes 5 and 18 below]</p> <p>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application</p> <p>5. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Computer Code Listing (See 1.96)</p> <p>a. <input type="checkbox"/> Microfiche Appendix</p> <p>b. <input type="checkbox"/> CD-Rom (in duplicate, with separate transmittal)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy</p> <p>c. <input type="checkbox"/> Statement verifying above copies</p> <p>8. <input type="checkbox"/> Small Entity Status</p> <p><input type="checkbox"/> is claimed</p> <p><input type="checkbox"/> Statement filed in prior application; status still proper and desired</p> <p><input type="checkbox"/> is no longer claimed.</p> | | <p>9. <input type="checkbox"/> Assignment Papers</p> <p>10. <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)</p> <p><input type="checkbox"/> PTO-1449 Form</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input checked="" type="checkbox"/> A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i)</p> <p>17. <input checked="" type="checkbox"/> Other: Patent Application Data Sheet</p> |
| <p>18. <input type="checkbox"/> This is a CONTINUING APPLICATION. Please note the following:</p> <p>a. <input type="checkbox"/> This is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application</p> <p>b. <input type="checkbox"/> Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)</p> <p>c. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of application Serial No.</p> <p>d. <input type="checkbox"/> The prior application is assigned of record to</p> | | |

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 01-1560

APPLICATION FEES

| BASIC FEE | | | | \$ 740.00 |
|--|--------------|--------------|---|---------------------|
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 28 | -20= | 8 | x \$18.00 \$ 144.00 |
| Independent Claims | 2 | - 3= | 0 | x \$84.00 \$ 0.00 |
| <input checked="" type="checkbox"/> Multiple Dependent Claims(s) if applicable | | | | +\$280.00 \$ 280.00 |
| | | | Total of above calculations = | \$ 1,164.00 |
| | | | Reduction by 50% for filing by small entity = | \$() |
| <input type="checkbox"/> Assignment fee if applicable | | | + \$40.00 | \$ |
| | | | TOTAL = | \$ 1,164.00 |

19. Please charge my Deposit Account No. 13-2490 in the amount of \$20. A check in the amount of \$1,164.00 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- a. Fees required under 37 CFR 1.16.
- b. Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

24. USPTO CUSTOMER NUMBER



20306

PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert & Berghoff

25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | |
|-----------|-----------------------|
| Name | Michael S. Greenfield |
| Reg. No. | 37,142 |
| Signature | |
| Date | October 3, 2001 |

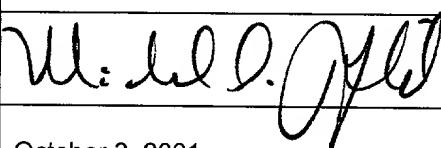
UTIL (Rev. 11/21/00)

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

Attorney Docket No. 01-1560
First Named Inventor Xiong Cai
Express Mail Label No. EL603709705US

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

U.S. PATENT AND TRADEMARK OFFICE
DEPARTMENT OF COMMERCE
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| | |
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| Name | McDonnell Boehnen Hulbert & Berghoff |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | |
| Name | Michael S. Greenfield |
| Reg. No. | 37,142 |
| Signature |  |
| Date | October 3, 2001 |